

Annexure - 1

Statement of holding specified securities

1	Name of Listed Entity :	WILLIAMSON FINANCIAL SERVICES LIMITED
2	Script Code :	BSE : 519214 CSE : 33039
3	Name of Scrip :	WILLIAMSON FINANCIAL SERVICES LIMITED
3	Class of Security :	EQUITY SHARES OF RS.10/- EACH
3	Shareholding Pattern Filed under: Reg.31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)	REGULATION 31(1)(b) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
4	a. If under 31(1)(b) then indicate the Report for the Quarter ended :	31ST DECEMBER 2015
4	b. If under 31(1)(c) then indicate date of allotment/extinguishment :	N.A.
4	Declaration: The Listed Entity is required to submit the following declaration to the extent of submission of information:-	
	Particulars	YES* NO NO*
	1. Whether the Listed Entity has issued any partly paid up shares ?	NO
	2. Whether the Listed Entity has issued any Convertible Securities or Warrants ?	NO
	3. Whether the Listed Entity has any shares against which depository receipts are issued ?	NO
	4. Whether the Listed Entity has any shares in locked-in ?	NO
	5. Whether any shares held by promoters are pledge or otherwise encumbered ?	NO

*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No. of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

The tabular format for disclosure of holding of specified securities is as follows:-

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	Nos. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) = 7% of (IV+V+VI)	Number of Voting Rights held in each class of securities (IX)		Shareholding as a % assuming full conversion of convertible securities (as percentage of diluted share capital)=(X)/(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in demat form (XIV)
								Total as a % of (A+B+C)			As a % of total shares held (B)			
								No. of Voting Rights	Total		No. (a)	% (b)		
(A)	Promoter & Promoter Group	8	5483979	0	0	5483979	65.6046	5483979	65.6046	0	0.00	NA	3137475	
(B)	Public	7706	2875157	0	0	2875157	34.3954	2875157	34.3954	0	0.00	NA	1811931	
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0.0000	0	0.00	NA	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0.0000	0	0.00	NA	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.0000	0	0.0000	0	0.00	NA	0	
	Total	7714	8359136	0	0	8359136	100.0000	8359136	100.0000	0	0.00	NA	4949410	

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Table 11 - Statement showing shareholding pattern of the Promoter and Promoter Group as on 31st December 2015

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)		(14)	(15)	(16)	(17)	(18)	(19)	(20)	
												No. of shares held as a % of total shares (A)	No. of shares held (B)								
(1)																					
(a)	Indian																				
	Individuals/Hindu Undivided Family	4	36778	0	0	0	36778	0.4400	36778	NA	35778	0.4400	0	0.4400	0	0.00	NA	NA	0	0.00	36778
	Brij Mohan Khatrian	1	349	0	0	0	349	0.0042	349	NA	349	0.0042	0	0.0042	0	0.00	NA	NA	0	0.00	349
	A Estate of Deepak Khatrian	1	10	0	0	0	10	0.0001	10	NA	10	0.0001	0	0.0001	0	0.00	NA	NA	0	0.00	10
	Amrtesh Khatrian	1	36219	0	0	0	36219	0.4333	36219	NA	36219	0.4333	0	0.4333	0	0.00	NA	NA	0	0.00	36219
	Yashodhara Khatrian	1	100	0	0	0	100	0.0012	100	NA	100	0.0012	0	0.0012	0	0.00	NA	NA	0	0.00	100
(b)	Central/State Government(s)	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
(d)	Any Other (specify)	3	3100701	0	0	0	3100701	37.0936	3100701	NA	3100701	37.0936	0	37.0936	0	0.00	NA	NA	0	0.00	3100701
	Booles Corporate	1	1666953	0	0	0	1666953	19.9417	1666953	NA	1666953	19.9417	0	19.9417	0	0.00	NA	NA	0	0.00	1666953
	McLeod Russell India Limited	1	576250	0	0	0	576250	6.8937	576250	NA	576250	6.8937	0	6.8937	0	0.00	NA	NA	0	0.00	576250
	Williamson Nagar & Co. Limited	1	857498	0	0	0	857498	10.2582	857498	NA	857498	10.2582	0	10.2582	0	0.00	NA	NA	0	0.00	857498
	Research Investments Ltd	1	857498	0	0	0	857498	10.2582	857498	NA	857498	10.2582	0	10.2582	0	0.00	NA	NA	0	0.00	857498
	Sub-Total (A)(1)	7	3137479	0	0	0	3137479	37.5335	3137479	NA	3137479	37.5335	0	37.5335	0	0.00	NA	NA	0	0.00	3137479
(2)	Foreign	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
(3)	Individuals (Non-Resident)	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
	Individuals (Foreign Individuals)	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
(4)	Government	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
(5)	Institutions	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
(6)	Foreign Portfolio Investor	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
(7)	Any Other (specify)	0	0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.0000	0	0.00	NA	NA	0	0.00	0
	Person acting in concert:																				
	Williamson Risking Limited	1	2346500	0	0	0	2346500	28.0711	2346500	NA	2346500	28.0711	0	28.0711	0	0.00	NA	NA	0	0.00	2346500
	Sub-Total (A)(2)	1	2346500	0	0	0	2346500	28.0711	2346500	NA	2346500	28.0711	0	28.0711	0	0.00	NA	NA	0	0.00	2346500
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	8	5483979	0	0	0	5483979	65.6046	5483979	NA	5483979	65.6046	0	65.6046	0	0.00	NA	NA	0	0.00	5483979

* Transmission of the Shares in terms of the WLL of Deepak Khatrian which is yet to be prepared, is in process.

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Table III - Statement showing shareholding pattern of the Public shareholder as on 31st December 2015

Category & Name of the Shareholders (i)	Nos. of share-holders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Share-holding calculated as per SCRR, 1957 as % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No. of shares underlying outstanding convertible securities (including Warrants) (X)	Total Share holding, as a % assuming conversion of diluted share capital (XI)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class eg: (Equity shares of Rs.5/- each)	Total				No. (a)	As a % of total shares held (b)	
(1) Institutions														
(a) Mutual Funds/UTI	3	2900	0	0	2900	0.0347	NA	2900	0.0347	0	0.00	NA	NA	0
(b) Venture Capital Funds	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(c) Alternate Investment Funds	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(e) Foreign Portfolio Investors	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(f) Financial Institutions/Banks	10	90629	0	0	90629	1.0842	NA	90629	1.0842	0	0.00	NA	NA	85774
(g) Insurance Companies	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(h) Provident Funds/Pension Funds	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(i) Any Other (Specify)	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
Sub-Total (B)(1)	13	93529	0	0	93529	1.1189	NA	93529	1.1189	0	0.00	NA	NA	88774
(2) Central Government/State - Government(s)/President of India	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
Sub-Total (B)(2)	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(3) Non-Institutions														
(a) Individuals -														
i. Individual shareholders holding nominal share capital up to Rs.2 Lakhs.	7545	1877205	0	0	1877205	22.4569	NA	1877205	22.4569	0	0.00	NA	NA	1094732
ii. Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.	13	336955	0	0	336955	4.0310	NA	306955	4.0310	0	0.00	NA	NA	336955
(b) NBFCs associated with RBC	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(c) Employee Trusts	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(d) Overseas Depositories (including DSA) (Balance figure)	0	0	0	0	0	0.0000	NA	0	0.0000	0	0.00	NA	NA	0
(e) Any Other (Specify)														
Bodies Corporate:-	110	473632	0	0	473632	5.6660	NA	473632	5.6660	0	0.00	NA	NA	287779
RBC Capital Markets Limited	1	162000	0	0	162000	1.9278	NA	162000	1.9278	0	0.00	NA	NA	0
Clearing Member	5	1393	0	0	1393	0.0167	NA	1393	0.0167	0	0.00	NA	NA	1393
Non-Resident Individuals	20	92443	0	0	92443	1.1059	NA	92443	1.1059	0	0.00	NA	NA	92258
Sub-Total (B)(3)	7693	2781628	0	0	2781628	33.2765	NA	2781628	33.2765	0	0.00	NA	NA	1723157
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	7706	2875157	0	0	2875157	34.3954	NA	2875157	34.3954	0	0.00	NA	NA	1811933

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Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31st December 2015

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share-holders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Share-holding calculated as per SCRR, 1957 As 0% of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		No. of shares underlying outstanding convertible securities (including Warrants) (X)	Total Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked-in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
								No. of Class eg: (Equity shares of Rs. 5/- each)	Total Class eg: Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1) Custodian/DR Holder		0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.00	NA	NA	0
(a) Name of DR Holder (if available)		0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.00	NA	NA	0
(2) Employee Benefit Trust under SEIS (Square based Employee Benefit) Regulations, 2014		0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.00	NA	NA	0
Total Non Promoter - Non Public Shareholding: (C) = (C1)+(C2)		0	0	0	0	0	0.0000	0	NA	0	0.0000	0	0.00	NA	NA	0
Total Shareholding: (A)+(B)+(C) =		7714	8359136	0	0	8359136	100.0000	8359136	NA	8359136	100.0000	0	0.00	NA	NA	4949410

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